

Docket No: 21456-13

**CERTIFICATE OF MAILING**

I hereby certify that this paper is being transmitted via facsimile to: Attn: Application Processing Division; Customer Correction Branch; Commissioner of Patents, Washington, DC 20231 at facsimile number 703/308-7751 on May 24, 2002

Lynne W. Moore  
Lynne W. Moore

**PATENT**

#5  
P. Allen  
12/1/02

**IN THE UNITED STATES PATENT & TRADEMARK OFFICE**

Applicant: KORNAU, Joshua R., et al. Paper No.:  
Serial No.: 10/027,097 Group Art Unit: 3627  
Filed: December 20, 2001 Examiner: To be assigned.  
For: **SELF-ALIGNING COUPLING ASSEMBLY**

**REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT**

Attn: Application Processing Division  
Customer Correction Branch  
Commissioner for Patents  
Washington, DC 20231

**RECEIVED**  
JUN 10 2002  
**GROUP 3600**

Dear Sir:

Enclosed is a copy of the Filing Receipt received in this application. The Filing Receipt is incorrect in that the last name of the first named Applicant is misspelled as "Kornah". The Applicant's correct information should be listed as follows:

**Joshua R. Kornau, Greenville, SC**

It is therefore requested that a *corrected* Filing Receipt be issued for this application and forwarded to the undersigned as soon as possible. Please charge any fees required to Deposit Account No. 04-1133.

Respectfully submitted,

By: Clayton L. Kuhnell  
Clayton L. Kuhnell  
Registration No. 48,691  
DINSMORE & SHOHL, LLP  
1900 Chemed Center  
255 East Fifth Street  
Cincinnati, Ohio 45202  
(513) 977-8377



## UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED Page 1 of 2

MAY 20 2002

 INPUT BY COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/027,097	12/20/2001	3627	1086	21456-13	8	32	3

 24256  
 DINSMORE & SHOHL, LLP  
 1900 CHEMED CENTER  
 255 EAST FIFTH STREET  
 CINCINNATI, OH 45202

 CONFIRMATION NO. 2720  
 UPDATED FILING RECEIPT


\*0000000008087914\*

Date Mailed: 05/13/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

 Joshua R. Kornau, Greenville, SC;  
 James E. Kesterman, Cincinnati, OH;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 01/28/2002

Projected Publication Date: 06/28/2003

Non-Publication Request: No

Early Publication Request: No

Title

Self-aligning coupling assembly

Preliminary Class

285

DATE RECEIVED: 5-20-02

ACTION:

DUE DATE:

DOCKETED BY: CMS

I.D. NUMBERS: 21456-13

☒ Associated w/Customers #☐ Not Associated w/Customers #

**LICENSE FOR FOREIGN FILING UNDER**  
**Title 35, United States Code, Section 184**  
**Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Part 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**FACSIMILE TRANSMITTAL**  
May 24, 2002

*from* **CLAYTON L. KUHNELL**  
Direct: 513-977-8377 / Fax: 513-977-8141 / [ckuhnell@dinslaw.com](mailto:ckuhnell@dinslaw.com)

To: Attn: Application Processing Division  
Customer Correction Branch

3627

Firm: U.S. Patent & Trademark Office

Fax Number: (703) 308-7751

Client Number: 21456-13

Pages: 4  
(Including cover)

Comments:

**RECEIVED**  
JUN 10 2002  
**GROUP 3600**

If there are any problems in receiving this transmission, please call the fax room at (513) 977-8483 immediately. Thank you.

**Notice**

*This message is intended only for the use of the individuals or entity to which it is addressed and may contain information that is privileged, confidential, and exempt from disclosure under applicable law. If the reader of this notice is not the intended recipient or the employee or agent responsible for delivering this message to the intended recipient, you are hereby notified that any dissemination, distribution or copying of this communication is strictly prohibited. If you have received this notice in error, please notify us immediately by telephone and return these papers to us at the address below via first class mail.*

Cincinnati • 1900 Chomed Center • 255 East Fifth Street • Cincinnati, OH 45202 • Phone: (513) 977-8200